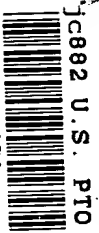


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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Application Transmittal



Box Patent Application
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the Patent Application of:

Inventor: EARL WALTER EMERICK, LONNY R. OLSON-WILLIAMS, VAN DEE
SAMMONS, KURT CLIFFORD THOMPSON, PAUL WILLIAM WERTZLER,
RONALD DEAN YOUNG

For: METHOD AND APPARATUS UPGRADE ASSISTANCE USING CRITICAL
HISTORICAL PRODUCT INFORMATION

Enclosed are:

- ☒ 43 sheets of specification and 1 abstract
- ☒ 35 sheets of drawings
- ☒ A Declaration and Power of Attorney (Unsigned)
- ☐ An Information Disclosure Statement and form PTO-1449
- ☐ A certified copy of a ___ application
- ☒ An assignment of the invention to International Business Machines Corporation, Armonk,
New York 10504 and Recordation form PTO-1595 (Unsigned)

The filing fee has been calculated as follows:

Other Than Small Entity

For:	No. Filed	No. Extra	Rate	Fee
Basic Fee				\$710.00
Total Claims	45 -20 =	25	x \$18.00=	\$450.00
Indep. Claims	4 -3 =	1	x \$80.00=	\$ 80.00
<input type="checkbox"/> Multiple Dependent Claim Presented			\$260.00	\$ 0.00
TOTAL				\$ 1240.00

EXPRESS MAIL CERTIFICATE

Express Mail Label No.: EL849145926US
Date: May 25, 2001

I hereby certify that the enclosed or attached paper is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service on the above date, addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Brian K. Hrma